

FINANCE AND ADMINISTRATION COMMITTEE MEETING – 3 OCTOBER, 2011

RE: COUNCIL MEMBER EXPENSE POLICY 01.37

Recommendation

The City Auditor recommends:

That the Council Member Expense Policy 01.37 as amended, in the form set out as Attachment 1, be approved.

Contribution to Sustainability

Not applicable.

Economic Impact

There is no economic impact.

Communications Plan

The agenda containing this report is available in the office of the City Clerk and on the City's website.

Purpose

The purpose is to have a revised Council Member Policy which is more refined and clear with less potential for interpretation. Going forward this revised Policy will be clearer and easier to understand and conform to.

Background - Analysis and Options

On March 9, 2010 Council approved the Council Member Expense Policy which became effective retroactive to February 1, 2010.

From this time to current date, the City Auditor was assigned the task of reviewing expense submissions and ensuring compliance with the Council Member Expense Policy before forwarding the expense documentation to the Finance Department for payment. This was for the protection of Council Members and to provide a learning period for Council Member assistants and Finance staff to be more knowledgeable of the new Policy. The review of expense submissions was done beyond the assigned 6 month period of 2010 into 2011, and will continue until 31 December, 2011. Effective 1st January, 2012, Council Member expense submissions should be forwarded directly to the Finance department for processing. The City Auditor will also be available as before, to answer any questions regarding the Policy.

Due to the variety of expenses and documentation submitted since February 1, 2010 to present date, it became evident that certain sections of the approved Policy should be refined for further clarity, less ambiguity and better understanding. The City Auditor has made the appropriate refinements to the Policy which he considered necessary. The policy with the refinements highlighted in ***bold italics*** (Attachment 1), is presented for review and approval.

Relationship to Vaughan Vision 2020

This report is consistent with the priorities previously set by Council and the necessary resources have been allocated and approved.

Regional Implications

Not applicable

Conclusion

The Council Member Expense Policy has been in effect since February 1, 2010. Requirements of the Policy are now more clearly understood by Council Member assistants and Finance staff. However due to the variety of expense submissions and questions from assistants and staff from February 1, 2010 to present date, it became evident that the Policy needed further refinement for improved clarity and understanding. This has been done by the City Auditor and are highlighted in ***bold italics*** in the amended policy. (Attachment 1). The amended Council Member Expense Policy is hereby presented for review and approval.

Attachments

Amended Council Member Expense Policy -01.37-Attachment 1

Report prepared by:

Michael Tupchong CA, CIA, CPA, CFE

City Auditor

Respectfully submitted.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------------	---

INDEX		PAGE
SECTION		
1.0	Purpose	2
2.0	General Terms and Definitions	2 - 3
3.0	Guiding Principles	3 - 4
4.0	Roles and Responsibilities	4 - 6
5.0	Use of Corporate Credit Card	6
6.0	Corporate Resources Available/Allocated to Councillors	6
7.0	Sign-off Process	7
8.0	Supporting Documentation Requirements	7
9.0	Business Related Expenses	8
9.1	Advertising (Council Member Budget) (1)	8
9.2	Advertising (Council Corporate Budget) (2)	8
9.3	Books, Magazines, Newspapers, Periodicals	9
9.4	Business Appreciation Expense	9
9.5	Hospitality Expense	9 - 10
9.6	Business Meeting Expense, (Council Member Budget) (1)	10 - 11
9.7	Business Meeting Expense, (Council Corporate Budget) (2)	11
9.8	Cable Television	12
9.9	Community Expense (Donations to Community Groups)	12
9.10	Community Expense (Event-Tickets/Participation fee/Benefit provided)	13
9.11	Community Expense (Gifts and Promotion) (1)	13
9.12	Community Expense (Gifts and Promotion) (2)	14
9.13	Community Expense (Hosting Events by Council Member)	14
9.14	Memberships (Council Member Budget) (1)	15
9.15	Memberships (Council Corporate Budget) (2)	16
9.16	Newsletters, Flyers, Photocopying and Postage	16 - 17
9.17	Office Decoration and Maintenance	17
9.18	Office Furniture (Council Member Budget) (1)	17
9.19	Office Furniture (Council Corporate Budget) (2)	18
9.20	Photographic Supplies & Services	18
9.21	Spousal/Companion Expenses (Council Member Budget)	18
9.22	Spousal Expenses (Council Corporate Budget)	18
9.23	Staff – Salary and Benefits Overage	19
9.24	Stationery – Office Supplies, Printing Services	19
9.25	Technology Suite (Council Member Budget) (1)	19
9.26	Technology Suite (Council Corporate Budget) (2)	22
9.27	Training (Council Member Budget) (1)	22
9.28	Training (Council Corporate Budget) (2)	23
9.29	Transportation, Mileage, Parking	23
9.30	Transportation Highway Tolls	26
9.31	Travel: Conferences, Seminars and Business Trips	26
9.32	Websites	30
10.0	Election Year Expenses	31 - 33

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)	
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY

1.0 PURPOSE

This policy guides the expenditures that support Councillors in performing their diverse roles and in representing their constituents. The approved Councillor budgets allow Councillors to:

- Administer their offices
- Represent the City at functions or events

This policy is intended to:

- Provide Councillors with the ability to allocate resources in the most efficient way to meet their own particular requirement.
- Identify the process that Councillors and their staff use to administer their budgets.
- Recognize Councillors' accountability for managing City resources allocated to them.
- Provide specific and clear direction regarding diverse expenses, clarifying what expenses are "eligible", "ineligible" along with some narrative.

2.0 GENERAL TERMS AND DEFINITIONS**2.1. Business Related Expenses**

- Business expenses are incurred in the interest of the City for the advancement of the City.
- Business expenses support the attainment of the City's approved goals and objectives.
- The City will only pay for business expenses incurred in furthering the interest of the City.
- A business expense is incurred for the City's benefit and not for a personal benefit.
- Business expenses are subject to the normal approvals that are contemplated in the City's Purchasing Policies and other policies and are permissible expenditures.
- Business expenses are eligible for reimbursement; personal expenses are not.
- Council approval is required for a Council Member(s) to represent **the City all Members of Council** in an official capacity at an event or function and the expense is a business expense chargeable to Council Corporate Budget.
- Without Council approval, the Council Member is not representing **the City all Members of Council** in an official capacity at an event or function. He/she is therefore representing his/her ward or the Region and then it is a business expense and would be charged to the Council Member Budget.
- Council approval is required before a Council Member may charge an expense to Council Corporate Budget. Without Council approval, the business expense is charged to individual Council Member Budget.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
--------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
----------------------------	---

- If there is doubt and it is not certain whether the expense is business or personal, then it is more than likely personal. *A business type expense can be supported and defended against any challenge.*
- Business related expenditures will be reimbursed by the City, within the terms of this policy.
- ~~Expenses that are incurred in the interest of the City for the advancement of the City, and which support the attainment of the City's approved goals and objectives. (removed due to duplication)~~

2.2. Council Corporate

- Refers to the Council Corporate Account from which expenses are paid that relate to Council as a Whole or that are shared by Council Members. Council approval is required before a Council Member may charge an expense to the Council Corporate Budget.

2.3. Ineligible Expenses

- Ineligible expenses under this policy are expenses that will not be reimbursed to Council Members, nor paid by the City of Vaughan on behalf of the Council Members.
- Expenses that would otherwise be eligible under this policy, but that result from a contract with, or purchase of supplies, material or equipment, from *family member(s)* of the Council Member, will be ineligible if the family member is an owner (excluding public company ownership), senior executive or any employee who directly benefits financially from the contract or purchase.
 - **Family Members include:**
 - Spouse, common-law partner, or any person with whom the person is living as a spouse outside of marriage
 - Parent, including step-parent and legal guardian
 - Child, including step-child and grandchild
 - Siblings and children of siblings
 - Aunt/uncle, niece/nephew, first cousin
 - In-laws, including mother/father, sister/brother, daughter/son
 - Any person who lives with the Member on a permanent basis
- If an expense is not dealt with in the Policy, then the expense is ineligible to be charged to the Council Member's Budget.

3.0 GUIDING PRINCIPLES

When interpreting this policy, the following principles should be kept in mind:

- 3.1 Integrity of Council
 - The integrity of City Council as a whole and the offices of the Councillors must be protected.
- 3.2 Accountability

POLICY MANUAL

SECTION: CORPORATE		(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)		
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY	

- The public expects the public funds Councillors use when they perform their duties to be used prudently.
- Councillors are the stewards of City resources and are ultimately accountable to the public and their constituents for the type and level of expenses they incur.
- Councillors' expenses must be reasonable and reflect what the public expects of an elected official.

3.3 Transparency

- The public has a right to know how public funds allocated to Councillors are spent.
- The public's right to Councillors' expense information must be balanced against the need to protect privacy and personal information, and allow time for proper accounting and reconciliation of expenses.

3.4 Compliance

- The Council Corporate Budget and the Individual Councillors' Budgets must be administered in accordance with policies and procedures of the City as well as other statutory requirements.

4.0 ROLES AND RESPONSIBILITIES

4.1. Council Members and Council Staff

- Public funds may not be expended or committed for personal use, non-City business use, campaign or election related purposes.
- Authorize expenditures from their Office Budget or staff budgets. Council Members or their authorized staff must sign off all receipts, invoices and packing slips with original signatures (signature stamps and electronic signatures will not be accepted).
- ***Council Members and their staff are responsible for charging all expenses to the appropriate General Ledger account codes and Budget.***
- Meet all financial, legal and Income Tax obligations.
- Stay within total budget and within specific limits within expense categories in this Policy.
- Council Members are to forward requests to purchase Computer Hardware/Software, Telephone and Fax, Wireless Equipment and Office Equipment, to the Information Technology Management department, and Office Decorations and Office Furniture to the Building & Facilities department, to coordinate with the Purchasing department and ensure compliance with corporate standards. Assets purchased will be inventoried by ITM and Building & Facilities departments.
- Safeguard and maintain all Computer Hardware/Software, Office Decorations, Office Equipment, Office Furniture, Telephone and Fax, and Wireless Equipment provided by the City and purchased with City funds.
- Ensure that all purchases are in accordance with Purchasing Policies and

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)	
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY

procedures. If the Vendor of Record is not used then the reason should be written on the documentation.

- Ensure that all goods/services are received in good condition. Invoices and Packing Slips must be signed (and dated) by the Council Member or his/her designate.
- Strictly comply with Council Member Expense Policy, Accounting, Financial and Budget Policies/Procedures at all times.
- Since Council Members use public funds when they perform their duties, the public expects public funds to be used prudently.
- Council Members are the stewards of City resources and are ultimately accountable to the public and their constituents for the type and level of expenses they incur.
- Council Members' expenses must be reasonable and reflect what the public expects of an elected official.
- Council Members' business expenses and personal expenses must be kept separate.
- The City will not pay for York Region expenses on behalf of the Council Member.
- At the end of the term, if not returning, return all assets (Computer Hardware/Software, Office Decorations, Office Equipment, Office Furniture, Telephone and Fax, and Wireless Equipment) provided by the City and purchased with City funds. Non-returning Council Members may purchase returned assets from the City at fair market value utilizing personal funds ***if newly-elected Council Members do not wish to continue using those assets.***
- Council Members or their staff should submit claims for reimbursement once a month. However, if this is not possible, then claims must be submitted at least quarterly.
- Should a Council Member exceed the limits placed on an expense in the Policy, then the Council Member must reimburse the City for the over-expenditure.
- If a Council Member wishes to deviate from this Policy, Council approval must be obtained in advance.

4.2. Finance Staff

- Finance staff shall review submitted expense documentation and ensure the documentation is in accordance with policy prior to processing submitted expenses for payment.
- Provide Members of Council with monthly budget reports relating to their individual budgets.

4.3. City Auditor

- Dispute Resolution and or Exemption to the Policy: In cases of uncertainty on the interpretation of any item in the Council Member Expense Policy, or exemption to the Policy, the City Auditor should be consulted on the intended interpretation of the Council Member Expense policy in question. If any dispute or exemption is not resolved then the dispute will be brought forward to the **Finance and**

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)	
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY

Administration Committee for a final ruling. In the meantime any disputed items will not be paid by the City.

5.0 USE OF CORPORATE CREDIT CARD

- The Corporate Credit Card is provided to the Mayor. It is to be used for City business expenses of the Cardholder as outlined in this policy.
- Personal Expenses shall not be put on the Corporate Credit Card.
- All credit card expenses must be supported with detailed original receipts and the signed credit card receipt. A credit card receipt in itself is unacceptable. If on a rare occasion the detailed original receipt is mislaid, an explanation of the detail and business purpose of the expense must be provided, signed and dated by the Mayor and accompany the signed credit card receipt.
- If the Corporate Credit Card is used for City business to pay for other Council members and/or City Staff, information should be written on supporting documentation. If the expense is a business meal, then the business purpose of the meeting and who attended must be noted. The expenses may be charged to the Mayor's budget, or if agreed in advance, the expense may be split and charged back to the Council Members' and/or City Staffs' budget for their share.
- If through exceptional circumstances, personal expenses are charged to the Corporate Credit Card, the Mayor must reimburse the City by personal cheque within the same month the personal expenses were incurred, so that the City is not out of pocket when it pays the full monthly balance owing.
- Public funds may not be expended or committed for personal use, non-City business use, campaign or election related purposes.

6.0 CORPORATE RESOURCES AVAILABLE/ALLOCATED TO COUNCILLORS

- Council Member Budget
 - Each Council Member is provided with an annual office budget to pay for expenses that are allowed in the Council Member Expense Policy.
 - Annual Council Member Budgets are subject to approval by Council as a Whole.
 - Surplus Council Member budgets may not be transferred to the next year.
 - Each individual Council Member has discretion over the allocation of their budget, within the framework of City of Vaughan policies and procedures, and any relevant statutory requirements.
- Council Corporate Budget
 - To fund general support for all Council Members.
 - Used for expenses on behalf of, or shared by all Council Members.
 - Council as a body share certain resources and receive a consistent level of

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------------	---

corporate support related to the basic functions of the office.

- o Annual Council Corporate Budget is subject to approval by Council as a Whole.
- o The Council Corporate Budget is prepared and monitored by the Clerk's Department, in accordance with this policy.
- o The Clerk's Department will have purchasing responsibility for the Council Corporate Budget expenditures.

7.0 SIGN-OFF PROCESS

- Council Members are responsible for authorizing and signing all expenditures.
- (1) A Council Member's Expense Submission Form with complete signed supporting documents should be submitted for reimbursement or
- (2) Purchasing documents with complete signed/approved supporting documents should be submitted for payment to Vendors.

8.0 SUPPORTING DOCUMENTATION REQUIREMENTS

- All requests for payment or reimbursement must be supported by appropriate original hard copy documentation, original detailed receipts and proof of payment. Credit card receipts on their own will not be accepted. If on a rare occasion, the detailed original receipt is mislaid, an explanation on the detail and business purpose of the expense must be provided, be signed and dated and accompany the signed credit card receipt.
- Supporting documentation should show:
 - Name of vendor/organization
 - Details of the expense
 - Business purpose if applicable
 - Names of participants if applicable
 - Amount of the expense
 - Date of the expense
 - Proof or acknowledgement of goods/services received
 - Examples of supporting documentation – notice/flyer, letter, receipt, credit card transaction slip, vendor transaction receipt, restaurant detailed receipt, bank-stamped cheque, copy of e-mail, purchase order, field purchase order, packing slip, invoice, parking ticket, boarding pass, vendor statements, bank statements, correspondence.
- Any additional specific supporting documentation required anywhere in this policy must be provided
- Reimbursement to Councillor - Council Member's Expense Submission form with complete signed supporting documents should be submitted
- Direct payment to Vendor – Purchasing documents with complete signed documents should be submitted

POLICY MANUAL

SECTION: CORPORATE		(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)		
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY	

9.0 **BUSINESS RELATED EXPENSES**

- 9.1 Advertising (1) **Eligible Expense(Council Member Budget)**
- Advertising is permitted if it is related to the business of the City in all types of media of charitable/non-profit organizations with either
 - an address in Vaughan
 - holding the event in Vaughan or
 - providing services to Vaughan.
 - The organization must either provide a *registration number* (charitable or non-profit) or must have *Community Service Organization (CSO) status* with the City of Vaughan.
 - Council Members **must provide** a copy of one of the following: the organization's letter / notice / flyer / e-mail to the Council Member **or** copy of the print ad, **as well as** (1) proof of payment if seeking reimbursement **or** (2) supporting documentation if requesting a cheque from the City.
 - Advertising is also provided under Council Corporate Budget on behalf of all Council Members.

Ineligible:

Advertising non-City business information e.g., advertising a fund-raising event for a non-profit/charitable organization.

Narrative:

- The annual maximum allowed is **\$2000** per Council Member and **\$4000** for the Mayor.
- Council Members cannot place any advertising after June 30th in an election year.
- **Business advertising includes the type of information on a business card. Additional City information may be included in the advertisement.**
See Transition Provisions – Section 11

- 9.2 Advertising (2) **Eligible: (Council Corporate Budget)**
- Holiday greetings and/or advertising on behalf of all Council Members **as approved by Council**. Advertising is not limited to any religion but is determined by Council **and advertised** in the appropriate local newspapers. Season's Greeting cards are distributed by the Secretary to the Mayor and Members of Council on behalf of all Council Members.

Note:

This section is to be read in conjunction with the above section.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

9.3 Books, Magazines, Newspapers, Periodicals

Eligible Expense (Council Member Budget):

- Books, magazines, newspapers and periodicals that are related to the business of the City and/or Region of which the City is a part of.

Ineligible Expense:

- Lifestyle / entertainment magazines (e.g. sports, cooking, gardening, fashion, celebrities, travel, how to etc.).

Narrative:

- Name of book or magazine must be included on the invoice.
- Council Members must identify the *business purpose* for the books, magazines, newspapers, periodicals when the business reason is not evident.
- Delivery address must be City Hall office.
- Council Members must provide an original subscription invoice or renewal notice indicating delivery address of publication.

9.4 Business Appreciation Expense:
(Members of Council with Staff)

Eligible: (Council Corporate Budget)

- Special staff appreciation events with all Members of Council invited, or other events as approved by Council.
- Reasonable alcohol.
- Reasonable expenses.

Narrative:

Expenses must be supported by:

- original detailed receipts and
- signed credit card receipts,

and provide details of :

- Business Purpose of Meeting and
- Names of Participants.

9.5 Hospitality Expense (External Parties)

(1) **Economic Development, Business Investment, and Culture**

Eligible: (Economic Development Budget)

- Where **Council approves** a business hospitality situation (**co-ordinated through the Economic Development department**), special catering, venue rentals and transportation expenses are eligible for the **Mayor and all of Council to host external parties** (special constituents, business contacts, representatives of other levels of government, international delegations or visitors from out of town) visiting the City for potential economic development,

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
--------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
-------------------------------	--

business investment or cultural opportunities.

- On a Council-approved business trip, the Mayor and/or Council Members may *reciprocate hospitality* to their hosts in appreciation of their cordiality and goodwill. **As this meal will be charged to the Economic Development budget, the eligibility for the day's per diem will be determined by one's responsibility for at least one of the other 2 meals.**
- Reasonable alcohol during meals or receptions involving business hospitality.
- Reasonable entertainment expenses.
- **Reasonable incidental expenses and refreshments/snacks.**

(2) Other Hospitality

Eligible: (Council Corporate Budget)

- Where **Council approves** a hospitality situation whereby one or more Council Members are **hosting external guests** visiting the City with little/no intention of pursuing economic development, business investment or cultural opportunities, but (1) to have a workshop, conference, meeting for some other purpose or (2) for other miscellaneous reasons.
- Reasonable **alcohol during** meals **and refreshments.**
- Reasonable venue costs.
- Reasonable incidental expenses **and refreshments/snacks.**

Ineligible Expense:

- **Alcohol is a personal expense.**

Narrative:

All hospitality expenses of external parties must be supported by:

- original detailed receipts and
- signed credit card receipts,

and provide details of :

- Business Purpose of Meeting and
- Names of Participants.

9.6 Business Meeting Expense (1)
(Staff and/or Council

Eligible Expense (Council Member Budget):

- Business meals/**snacks** in the interest of the City of Vaughan for: meetings with **City employees, Council Members' staff, other Council Members, External Parties** (business constituents, business contacts, government officials, or business visitors from out of town) or employees of a City agency, board, commission or special purpose body.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

Members and
/or External
Parties)

- Meals consumed by Council Members prior to attending evening functions or events.
- In-town conference/seminar at which meals are not provided and where no per diem may be claimed. Per diem is allowed only if overnight stay is required and one is responsible for at least one (1) meal per day.
- Up to two attendance tickets/registration fees at *government* events/functions.
- Facility rental.
- Catering order, including coffee, tea, juices, pop, cookies, snacks, light food.
- Venue set-up fees, including audio-visual equipment, flip charts, set-up fees, cleaning charges.
- External facilitator fees.

Ineligible Expense:

- Alcohol is a personal expense.
- *Entertainment or Holiday* meals with City employees, Council Members' staff, other Council.
- Members or employees of a City agency, board, commission or special purpose body *and their relatives.*

Narrative:

- For attendance at *government* events/functions, one ticket must be used by the Council Member. The second ticket can be used for the Council Member's staff or spouse/companion (in circumstances where it is customary and expected that a spouse/companion attend, *based on the nature of the event (e.g., gala).* If the Council Member is unable to attend, then the Council Member's Full-time Assistant may use the ticket with his/her spouse/companion. The Council Member or Assistant must be in attendance.
- Council Members must provide a copy of one of the following: letter/event notice/flyer/e-mail requesting the Council Member's attendance as well as (1) proof of payment if seeking reimbursement or (2) supporting documentation if requesting a cheque from the City.

All *business meeting* expenses must be supported by (1) original detailed receipts, (2) signed credit card receipts, and provide details of 1) Business purpose of meeting 2) Names of participants.

9.7 Business Meeting Expense (2)

(Staff and/or Council Members

Eligible: (Council Corporate Budget)

- Catered meals for Council meetings when required, and kitchen supplies. Catered meals (lunches and dinners) to be the responsibility of the Assistant of the Chair of the Committee and are to be purchased at the discretion of the Chair of the Committee. Kitchen supplies are to be purchased by the Secretary to the Mayor and Members of Council.
- For all Council Members attending external business meetings/events as a

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
--------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
-------------------------------	--

**and/or
External
Parties)**

group, as approved by Council. This is not business hospitality.

Ineligible Expense:

- Alcohol is a personal expense.

Narrative:

All business meeting expenses must be supported by:

- original detailed receipts and
- signed credit card receipts,

and provide details of :

- Business Purpose of Meeting and
- Names of Participants.

**9.8 Cable
Television**

Eligible Expense (Council Member Budget):

- Additional cable connections if required.

Ineligible Expense:

- Movie/entertainment channel programming.
- Monthly cable fee for *Home Office*.
- Cable installation and program fee for *Home Office*.
- Cable equipment purchase or rental for *Home Office*.
- Specific cable channels and programs.

Narrative:

- Basic cable (at no cost) is provided in each Council Member's office at City Hall as a corporate expense.
- Cable connection at City Hall is provided from City centralized resources (Building & Facilities Department).
- Requests for additional cable connection at City Hall must be made to the City's Building and Facilities department

**9.9 Community
Expense:
(Donations to
Community
Groups)**

Ineligible Expense (Council Member Budget):

- Any form of donations is **ineligible** and are not to be charged to the Council Member Budget.

Eligible (Council Corporate Budget):

- Grants, donations or sponsorship, on behalf of the Corporation, as authorized by Council.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

9.10 **Community Expense:**
(Event Tickets
Participation fee
Benefit provided)

Eligible Expense (Council Member Budget):

- Up to two tickets per charitable or fund-raising event (in circumstances where it is customary and expected that a spouse/companion attend, based on the nature of the event (e.g.,gala))
- One *participation fee* or **benefit provided**, for the Council Member to participate in charitable or fund-raising events such as golf tournaments, walkathons, **runs, bike events, BBQs**, etcetera.

Ineligible Expense:

- Entertainment events such as theatre shows, musical performances or other concerts are ineligible expenses unless it is a charitable or fund-raising event.
- Raffle tickets, silent auctions, table prize tickets at events

Narrative:

- The charitable or fund-raising event must be for **by** a charitable/non-profit organization with either (1) an address in Vaughan (2) holding the event in Vaughan or (3) providing services to Vaughan. The organization must either provide a registration number (charitable or non-profit) or must have Community Service Organization (CSO) status with the City of Vaughan.
- For charitable events or dinners, event organizers must make out charitable receipts to the City of Vaughan.
- One ticket must be used by the Council Member. The second ticket can be used for the Council Member's staff or spouse/companion (in circumstances where it is customary and expected that a spouse/companion attend, based on the nature of the event (e.g.,gala). If the Council Member is unable to attend, then the Council Member's Full-time Assistant may use the ticket with his/her spouse/companion. The Council Member or Full-time Assistant must be in attendance.
- Council Members must provide a copy of one of the following: event notice/flyer/e-mail requesting the Council Member's attendance as well as (1) proof of payment if seeking reimbursement or (2) supporting documentation if requesting a cheque from the City.

Eligible (Council Corporate Budget):

- Council supported fundraising events: Purchase of a City table for the Mayor and Council Members *and their spouses* for Council supported fundraising events (Humber Regional Hospital Gala, York Central Hospital Gala, York Region Police Chief's Dinner (**also known as York Regional Police Appreciation Night**) and Vaughan Health Care Foundation Gala and Chairman's Dinner for the Arts. **May include other events as approved by Council e.g., retirement of a dignitary, etc.**

9.11 **Community Expense:**

Eligible Expense (Council Member Budget):

- City-related promotional items such as fridge magnets, souvenir T-shirts, pins,

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
--------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
-------------------------------	--

(Gifts and Promotion)
(1)

ties, pens, etc.

- Payment for gifts from the Economic Development Department's gift inventory.
- Gifts / flowers / awards for constituents, community leaders, seniors, **community groups** for **special occasions (max \$100 for each occasion)** with an annual maximum allowed of **\$1000**.

Ineligible Expenses:

- Gifts for Council staff or other employees of City agencies, boards, commissions and special purpose bodies.

Narrative:

- Council Members must provide an original invoice or receipt detailing gift items, quantity, cost and purpose of the items.
- Expenses incurred for flowers / gifts / awards to specific constituents, community leaders, **community groups** or seniors **must include an explanation on how it relates to City business** e.g., illness / death / remembrance of a prominent community leader, congratulate / recognize an achievement of a constituent. There may be other special circumstances for the flowers/gifts/awards. **A monetary award/gift/promotion by way of a cheque is permissible.**

9.12 Community Expense:
(Gifts and Promotion)
(2)

Eligible Expense (Council Corporate Budget)

- Grade 12 Proficiency Awards or as authorized by Council.
- Gifts (flags, promotional items) presented by the Mayor or designate, on behalf of the City and all Council Members, to Official Guests and Dignitaries.
- Flowers (or donations to charitable organizations for deaths) for special occasions (births, deaths, illnesses) provided by the Mayor or designate on behalf of the City and all Council Members to community leaders and to senior city officials and their immediate family (mother, father, spouse, child, sibling), to a maximum of **\$250** (1January 2010).

Eligible Expense (Corporate Communication Budget)

- Sponsorship of the Christmas Staff Children's party, the Christmas Staff Open House or *as authorized by Council*.

Note:

This section is to be read in conjunction with the above section

9.13 Community Expense:
(Hosting Events by Council Member)

Eligible Expense (Council Member Budget):

- Costs directly associated with hosting an event
- Total costs limited to **\$1500 maximum** per event. Example: **Advertising the event**, space rental fees or permit fees, venue decorations and set-up, miscellaneous supplies, equipment rental, including stage, chairs, tables, sound systems, a/v equipment etc.

Ineligible Expense:

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

- Payment to City staff of other departments to organize event.
- Event costs (as above) for an event organized entirely by a third party with no direct Council Member participation.
- Council Member Events after the cut-off date of **June 30th** during an election year.

Narrative:

- Where the Council Member is raising funds, receiving donations or services-in-kind, the event or initiative must be authorized or endorsed by Council so as to be in alignment with the Code of Ethical Conduct on Gifts and Benefits Rule No.2 1(j).
- To be eligible, proof is required of Council Member hosting the event e.g. name on flyer, name as co-sponsor of event.
- Council Member community event expenses can supplement events organized by program areas, e.g. park opening by Parks, Recreation and Culture, or Environment Days by Waste Management Services etc.
- Council Member hosting events can be co-organized with community groups.
- Council Members must provide an *original detailed invoice* from vendor, including *nature of service, date and venue* of event.
- Council Members must comply with the policy on donations to Council Member hosting events.
- Donations received for Council Member hosted events, must be reported to both the *Integrity Commissioner* and the City Clerk's Office.
- Donation Cheques received must be made in the name of the City of Vaughan and forwarded to the Finance department.
- Donations received by Council Members for a specific event must be maintained in a specific identified City account and must be dedicated to the event originally funded. Surplus donations received in excess of the cost of the specific event during a calendar year, can be carried forward between calendar years to be used for the same specific event only.
- Where the specific event is not repeated or continued, any excess funds in the specific account will be forwarded to Council Corporate Budget.
- Donations received for hosting community events and expenditures funded by donations, are subject to the same disclosure requirements as expense funded by the Council Member's Budget.

Form:

Integrity Commissioner – Gifts and Benefits Information Statement

**9.14 Memberships
(1)**

Eligible Expense (Council Member Budget):

- Memberships for the Council Member or their staff that are related to the Council Member's work in the community or for the City.

Ineligible Expense:

- Retail memberships (e.g., Costco).

POLICY MANUAL

SECTION: CORPORATE		(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)		
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY	

- Award programs. (e.g., credit card annual fees)

Narrative:

Council Members must identify how a membership is relevant to City business when the business reason is not evident.

9.15 Memberships
(2)

Eligible (Council Corporate Budget)

Corporate memberships and fees for AMO and FCM or as authorized by Council for all Council Members.

9.16 Newsletters
and Flyers,
Photocopying
and Postage

Newsletters/Flyers:**Eligible Expense (Council Member Budget):**

- Design, writing, copy-editing, printing costs.
- Distribution costs through Canada Post or private firms.
- Translation fees.
- Clip art or stock photo fees.

Ineligible Expense:

- Printing and distributing newsletters after cut-off date (**June 30th**) during an election year *unless so directed and approved by Council*.

Narrative:

- Council Members are encouraged to use the Photocopying services of the Mailroom for bulk photocopying and the Vendor of Record for printing services.
- If the Council Member chooses to use other printers, he/she must follow Purchasing policy.
- Newsletters must relate to the business of the City, York Region and/or relations with other levels of Government.
- **Newsletters may include actual factual information such as accomplishments by the City, Committees and initiatives led by the Council Member.**
- **Promises and intentions are campaign-related and unfulfilled declarations, and are not permitted in newsletters in an election year.**
- The use of electronic newsletters is governed by "eNewsletters Publication and Mailing Database Policy" which was approved by Council in 2007.
- Council Members must submit an *original invoice* from vendor along with a copy of the newsletter or flyer.

Photocopying:**Eligible Expense (Council Member Budget):**

- Photocopying charges for copies done by Council Member's Office, mailroom or outside vendors.

Other information about photocopying:

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

- For outside vendors, Council Members must provide an *original invoice detailing the date of the job and number of copies.*

Postage:

Eligible Expense (Council Member Budget):

- Canada Post related costs.
- Courier delivery costs by outside courier service.

Narrative:

- The City Clerk's Office coordinates all mail services through the City's mailroom, and allocates charges based on usage.

9.17 Office Decoration and Maintenance (Maximum \$3,500)

Eligible Expense (City's Building and Facilities Budget):

- Once during each term, **up to \$2,500 limit**, the City will thoroughly clean carpets (replace if necessary), clean blinds (replace if necessary), paint the walls for the City Hall Offices of incoming new Council Members. Returning Council Members may also request the same, once during each term, as required.
- Ongoing regular cleaning of offices (carpets, garbage).

Eligible Expense (Council Member Budget):

During the Council term, Council Members will be charged:

- The cost of painting and re-keying for City Hall.

Ineligible Expense:

- Home Office decorating and maintenance costs.

Eligible Expense (Council Corporate Budget):

Once per term, up to a **limit of \$1,000:-**

- Artwork purchased for City Hall Office.
- Area Rugs.
- Vases, decorations and ornaments.

Narrative:

See Council Member Responsibilities.

9.18 Office Furniture (1)

Eligible Expense (Council Member Budget):

- Costs for refurbishing or repairing furniture to corporate standard.
- Moving costs for City furniture at other storage locations.
- Transportation costs from vendor

Ineligible Expense:

- Furniture for Home Office.

POLICY MANUAL

SECTION: CORPORATE		(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)		
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY	

Narrative:

- All furniture that Council Members buy must be consistent to a Corporate standard to be established by Council.

See Council Member Responsibilities.

9.19 Office Furniture (2)

Eligible (Council Corporate Budget)

- Basic furniture to corporate standard will be provided to and be shared between Council Members and their staff at the Vaughan City Hall Office.

Note:

- This section is to be read in conjunction with the above section.

9.20 Photographic Supplies & Services

Eligible Expense (Council Member Budget):

- Professional photographer or videographer fees.
- Processing and digital print fees.
- Frames, CDs, and other output devices.
- Film or other storage mechanisms.

Provided from the City's centralized resources:

- Random informal photographic services are provided by the Corporate Communications department during normal work hours for Council-approved initiatives.

Narrative:

- Eligible expenses above must be solely for City business.
- Council Members cannot use photographs or materials that were taken with City resources or by City staff for election-related purposes.
- Can only use photography for uses consistent with City policy on photography.

9.21 Spousal/ Companion Expenses

Eligible Expense (Council Member Budget):

- It may be protocol, in exceptional circumstances where it is customary and expected that a spouse/companion attend, based on the nature of the event (e.g., gala), to have a spouse/companion attend a function due to:
 1. **Hospitality**
 2. **Community Expense (Event Tickets).**
- Refer to appropriate sections for complete details.

Ineligible Expense:

- Spousal/companion fees for airline tickets, conference registrations, additional accommodation, meals, travel, tours etc should be paid for personally.

9.22 Spousal Expenses

Eligible (Council Corporate Budget):

- Council supported fundraising events: Purchase of a City table for the Mayor

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

and Council Members *and their spouses* for Council supported fundraising events (Humber Regional Hospital Gala, York Central Hospital Gala, York Region Police Chief's Dinner and Vaughan Health Care Foundation Gala and Chairman's Dinner for the Arts.

- 9.23 **Staff-Salary and Benefits Overage** **Eligible Expense (Council Member Budget):**
- Salaries and Benefits for part-time assistance exceeding vacation coverage provided, if required.

- 9.24 **Stationery** **Eligible Expense (Council Member Budget):**
- Toners, labels, paper and supplies available from the City's vendor.
 - General office supplies e.g., staples, pens, pencils, highlighters, post-it notes, writing pads, filing folders and miscellaneous supplies.
 - Stationery including envelopes, letterheads, business cards, diaries.

Office Supplies
Printing Services

Narrative:

- Toner cartridges which exceed the normal allocation charged to **Council Corporate Budget** per calendar year, will be charged to **Council Member Budgets**.
- Charge for direct usage by Council Member's office including direct support staff, except toners as stated above.
- Council Members' staff are set up so that they can directly order supplies from the City's vendor on-line.
- Use of City of Vaughan logo must comply with the City's standard logo.

- 9.25 **Technology Suite (1) Computer Hardware, Software and Accessories** **Eligible Expense (Council Member Budget):**
- Computer Hardware, Software and Accessories**
- Other than scheduled replacement of corporate standard computer hardware, software and peripherals that have been approved by the Information Technology Management (ITM) department for Council Members and their staff.
 - Includes any upgrades from corporate standard irrespective if scheduled or unscheduled replacement.

Wireless (Cell Phones, Blackberry, PDAs)

Wireless (Cell phones, Blackberries, PDAs)

- Unscheduled/scheduled replacement of cost of equipment such as blackberries, cell phones or other Personal Digital Assistants (PDAs), limited to only one unit each per Council Member and staff member. The Mayor as head of Council is permitted to have 2 phones.
- Wireless blackberry/cell phone monthly charges including System Access Fee, 911 fee (limited to one plan per person. The Mayor as head of Council is permitted to have 2 plans, but with separate service providers)
- Business-related text messaging costs.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
--------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
-------------------------------	--

- Business-related local or long-distance charges from any phone.
- Voice Roaming charges.
- Peripherals such as battery chargers, carrying cases, data (memory) stick etc.

Internet Services

Internet Services

- High-speed internet connection for Home Office (Council Member and staff).
- Installation costs.
- Modem rental fees.

Office Equipment

Office Equipment:

- Fax machine, shredder, audio-visual equipment, camera, video camera and small appliances for City business.
- Maintenance contracts for equipment.
- Carrying case for equipment.
- Peripherals for equipment.

Telephone and Fax

Telephone and Fax:

- Peripherals such as headsets, etc.
- Standard Home Office Telephone and Fax equipment as determined by ITM, and associated dedicated communication line.
- Home Office Monthly fees, including fees for specialty features such as call display, call waiting, messaging.
- Pay-per-use conference calling for City Hall Office or Home Office.
- Home Office *business-related* long-distance charges.
- Home Office 411 calls.

Ineligible Expense:

Computer Hardware, Software and Accessories

- Non-corporate standard hardware or software.
- Equipment that Council Members or their staff may have bought outside of the required ITM procurement process.

Wireless (Cell phones, Blackberries, PDAs)

- Personal long-distance calls
- Pay-per-use calls
- Personal messaging/downloads

Internet Services

- Fees for access to for-fee sites.

Office Equipment

- Equipment for Home Office other than one fax machine.

Telephone and Fax:

- Personal long-distance calls.
- Pay per use calls.
- Phones not supported by ITM

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)	
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY

Narrative:Computer Hardware, Software and Accessories

- Must follow the ITM procurement process through the ITM department.

Wireless (Cell phones, Blackberries, PDAs)

- Must buy wireless equipment and set up a plan through ITM department with corporate vendor and corporate contract. Limited to one phone and one plan per Council Member.
- Council Members who are not returning to office will be permitted to retain their cell phone numbers and reassign those to their personal cell phones.
- Council Members must reimburse the City for any *personal* long-distance calls from City Hall office or Home office and related roaming charges..
- Council Members **must provide only the front pages of the monthly bill itemizing the services and charges**. Back-up pages containing a detailed list of telephone calls are not required and will be shredded.
- The monthly cell phone bill must be *signed (and dated)* by the Council Member to verify that the charges have been reviewed and are correct.

Internet Services

- Council Members must arrange for internet set-up at the Home office with the ITM department. The connection must be primarily for City business usage.

Office Equipment:

- Must be for business purposes.

Telephone and Fax:

- Home Office telephone/fax line must be dedicated for City business only.
- Council Members must reimburse the City for any personal long-distance calls made from City Hall office or Home office monthly.
- Council Members **must submit the first few pages of the monthly bill identifying the services and itemized fees for each service**. Back-up pages containing detailed list of telephone calls are not required.
- Telephone equipment purchased must be supported by the *original itemized invoice* showing details of the equipment.
- Council Members must provide an *original work order* and *invoice* detailing any installation or connection work, the address of the work and charges.
- Additional phone or fax lines (other than what has been provided out of ITM budget) for the Council Member's office at City Hall must be arranged through the ITM office. Costs will be charged to Council Member Budget.

Form:

Form - Payment of Wireless Expenses

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

- 9.26 **Technology Suite (2)** *Eligible Expense (Council Corporate Budget):*
Wireless (Cell Phones, Blackberries, PDAs)
- **Wireless (Cell Phones, Blackberries, PDAs)** Unscheduled/Scheduled replacement of cost of wireless data card **(also called wireless internet stick)** for use with a portable computer (one per Council Member-**does not include Council Member staff**).
 - **Wireless (Cell Phones, Blackberries, PDAs)** Monthly data charges, including data packages for Council Members **only and not for Council Member staff**.
 - limited to one wireless plan per person.
 - Data Roaming Charges.
- Office Equipment** *Office Equipment*
- Lease, rental, purchase, maintenance and repair costs of all **shared** equipment for example: photocopier machine, fax machine, reception area, kitchen appliances, furnishings, accessories etc.
- Computer Hardware, Software and Accessories** *Eligible Expense (Information Technology Management Budget):*
Computer Hardware, Software and Accessories
- Scheduled replacement of corporate standard computer hardware, software and peripherals that have been approved by the Information Technology Management department.
- Internet Services Telephone and Fax** *Internet Services*
- Internet access through the City's network at City Hall.
- Telephone/Fax:*
- City Hall office telephone/fax lines.
 - City Hall office monthly fees, including fees for specialty features such as call display, call waiting, messaging
 - City Hall business-related long-distance charges.
 - City Hall office basic telephone equipment.
 - City Hall office fax machine.
 - City Hall office 411 calls.
- Note:*
This section is to be read in conjunction with the above section.
- 9.27 **Training (1)** *Eligible Expense (Council Member Budget):*
- Specialized individual training and seminars for Council Members and support staff that meets specific business requirements.
- Ineligible Expense:*
- Training unrelated to City business.
 - Physical fitness, sports, arts programs.
 - Personal development programs for degrees or diplomas.
- Narrative:*
- To be reimbursed for training/seminar fees, Council Members and their staff

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

must provide proof that they have completed the course or program, and an original fee receipt from the education body.

- Council Member staff cannot claim overtime for training that takes place after office hours or on weekends.

If there is doubt about the eligibility of a training seminar/course, consult the Human Resources department on its appropriateness.

9.28 Training (2)

Eligible (Council Corporate Budget)

- Group training and seminars for Council Members and Council support staff as approved by Council.

Provided from the City's centralized resources:

- Training and development programs from the City.

Narrative:

Training and seminars must meet City-related business requirements.

9.29 Transportation:

**Council Members (excluding the Mayor) have a choice between:-
(a) Monthly Allowance or (b) Mileage Claim.**

Mileage & Parking

(a) **Monthly Allowance Method (no details required)**

- The Allowance is conditional to a boundary of 80 kms one way from City Hall.

Taxi

Eligible Expense (Council Member Budget):

Car Rental

Bus, Subway, Train

- The Committee of the Whole approved a new mileage allowance of \$768 per month.
- Transportation (air, train, bus, car rental) or kilometrage (if driving) to get from City Hall to destination and return. If driving, total kilometers claimed must be reduced by 160 kms by Council Members on the Allowance method.
- Council members on the monthly Allowance may only claim business related taxi, car rental, bus, subway/train expenses if the destination city is more than 80 kms one way from City Hall. Within the boundary of 80 km one way from City Hall, these expenses cannot be claimed.
- Business-related parking fees

Narrative:

- Monthly Allowance is to cover all local business related travel expenses (subway, bus, taxi, car rental, train, mileage) within the boundary (within 80km one way from City Hall). No details of mileage are required by the City.
- The monthly Allowance once chosen, will be enforced to the end of the tax year.
- A Council Member's decision for the monthly Allowance must be in writing and sent to the Director of Human Resources.
- Without written notification, Council Members will be reimbursed on the Mileage

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
--------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
----------------------------	---

Claim method.

- Allowances will show on the annual T4. Council Members must keep records of personal and business mileage for Income Tax purposes.
- Business-related Parking expenses must be supported with *original receipts* from parking operators indicating *date, time, and parking location*. Credit card receipts will not be accepted unless it has all the relevant details.

(b) **Mileage Claim Method (Details Required)**
Eligible Expense (Council Member Budget)

- Use of personal vehicles to travel for business purposes. Cannot claim travel expense (rides on cabs or other modes of transportation) between home and the normal work location. For Council Members, City Hall is considered their normal work location.
- Business related taxi, car rental (includes insurance coverage, gasoline costs), bus, subway/train expenses.
- Transportation (air, train, bus, car rental) or kilometrage (if driving) to get from City Hall to destination and return. If travel from home to destination to City Hall to home , claim total kilometers less distance from home to City Hall to home and any personal mileage. If travel from home to destination to home, claim total kilometers less distance from home to City Hall to home. I.E. for ALL mileage claims, claim total mileage less (distance from home to City Hall to home and any personal mileage).
- Business-related parking fees.
- Business Trips by Council Members or their staff.

Narrative:

- The rate of reimbursement per kilometrage will be at the same rate as applied to City employees.
- Council Members are accountable for the accuracy of the kilometrage claimed.
- The reimbursement is not a taxable benefit.
- Business-related Parking expenses must be supported with *original receipts* from parking operators indicating *date, time, and parking location*. Credit card receipts will not be accepted unless it has all the relevant details

Other information about kilometrage:

- Council Members and their staff must report the date, start of day odometer reading and end of day odometer reading, the number of personal kilometers travelled, and the business purpose of the trip according to established categories (e.g. business meeting, ward meeting, constituency meeting and special event).
- Detailed destination addresses are not required. Intersection information (e.g. Hwy 7 and Weston, etc) or building names (e.g. Pierre Berton Library, etc) are acceptable for security and privacy protection reasons.
- Council Members or their staff should submit claims for kilometrage and parking expense at least once a month.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)	
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY

Ineligible Expense for Allowance or Mileage Claim method.

1. Gasoline.
2. Personal vehicle maintenance and repair costs.
3. Vehicle licensing fees.
4. Insurance costs.
5. Clean Air Certification costs.
6. Fines for parking, speeding, traffic violations.
7. Tow and impound fees.
8. Car-wash fees.
9. Charges for damage to rental vehicle.

Narrative Applies to Allowance or Mileage Claim:

Those on the Allowance method are bound by the 80 km one-way-from-City Hall rule.

Taxi

- Council Members must provide an original Taxi receipt with the date and the "to" and "from" destinations. Credit card receipts and statements will not be accepted. If a receipt is mislaid the required information must be provided in writing, be signed and dated.

Car Rental

- Compact cars should be rented at all times.
- Driver must be either the Council Member or their staff. City policies prohibit any third-party drivers where City funds are used to pay the rental fee.
- Collision damage coverage must be secured.
- Council Members must submit an invoice showing the date of rental, model of car, rental rate and any insurance purchased.

Bus, Subway, Go Train

Train, subway or bus receipts are required. If receipts are not available, a signed statement must be presented.

Mayor's Lease Vehicle:

- The Mayor is provided with a lease vehicle and therefore does not qualify for a Monthly Allowance or Mileage Claim.
- Vehicle expenses for lease, repairs, insurance, license, maintenance, fuel, car wash/cleaning, highway tolls and business parking are charged to the Mayor's budget. Original receipts/invoices are required. Credit Card receipts alone will not be accepted. Missing receipts require written explanation of the detail of the expense with signature and date.

Form:

- Kilometrage & Parking Expense Form for those claiming mileage including parking.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

9.30 Transportation
Highway Tolls

Eligible Expense (Council Member Budget):

- Highway tolls for business meetings.

Ineligible Expense:

- Highway tolls related to travel between home and normal work location as this is considered a personal expense by the Canada Revenue Agency. For Council Members, City Hall is their normal work location.
- Purchase of a transponder or service fees associated therewith.

Other information about tolls:

- Must identify business purpose use of the toll highway.
- Toll billing statement showing the vehicle plate number, must be presented, be signed (and dated) to verify the charges and support the claim.

9.31 Travel:

Conferences,
Seminars and
Business
Trips

Eligible Expense (Council Member Budget):

- Conference registration
- Transportation (air, train, bus, car rental) or kilometrage (if driving) to get from City Hall to destination and return. If driving, the lesser of economy airfare or mileage will be reimbursed. Total kilometers claimed must be reduced by 160 kms and any personal mileage by Council Members on the Allowance method.
- Council members on the monthly Allowance may only claim these business related taxi, car rental, bus, subway/train expenses if the destination city is more than 80 kms one way from City Hall as the Allowance replaces claiming these expenses.
- Hotel (lowest rate category available on the basis of single room accommodation only at the government/lowest rate where available). Up charges for additional individuals are a personal responsibility.
- Business Faxes
- Business Computer and Internet Charges
- Business Hospitality expenses where an external party is hosted (Hospitality Policy applies).
- Trip cancellation insurance
- Laundry and dry cleaning expenses
- Business telephone calls
- Reasonable personal long distance telephone charges to family at home will be allowed in consideration of being out of town on City business.
- Parking
- Extra Medical Insurance- only when travelling outside of Canada.
- Visa expenses and Medical shots only if required and for travelling outside of Canada. If this is covered by the City's medical plan, then expenses may not be claimed.

Per Diem to cover one or more meals per day. \$125 Maximum (January 1, 2010)

- No receipts are required.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
--------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
-------------------------------	--

Travel:
Cont'd

- To qualify, *overnight stay is required* and one must be *personally responsible for at least one meal per day*. If 3 meals per day are included/provided with the conference fee/seminar/business trip, then cannot claim a per diem allowance.
- Should a Council Member not participate in any of the 3 daily meals included/provided for particular reasons, this will not provide grounds to be eligible for the per diem. Original detailed receipts will then be required for reimbursement of meals consumed limited to **\$125 per day** (inclusive of tax and gratuities).
- The number of days claimed for the per diem calculation will be the **full or partial days** at the conference/seminar or business trip provided one is responsible for *at least one meal per day* i.e. all meals per day are not included/provided.
- **Travel the day before or the day after** the conference/seminar/business trip does not qualify for per diem allowance. Require detailed original receipts for meals.
- **Council Members who have a business meeting with an external party at a conference, seminar, business trip, will be reimbursed. Required are (1) original detailed receipts and credit card receipts (2) business purpose of the meeting (3) names of the participants. Alcohol is a personal expense. As this meal will be covered by the Council Member's budget, the eligibility for the day's per diem will be determined by the Council Member's responsibility for at least one of the other 2 meals.**
- In exceptional circumstances, reimbursement would be permitted for the total day's meal expenses which *exceed* the per diem rate. Original detailed receipts would be required to support the expenses with a written explanation of the circumstances.
- For travel outside of Canada, *per diem will be in U.S. dollars*. No receipts are required.

Ineligible Expense:

- Personal expenses **[see "Eligible Expenses", section 2.3 at front for details; for example upgrades for airfare or train (see Narrative), personal equipment, personal services, shoe shines, valet parking services, loss of personal effects, purchase of personal goods (luggage, clothing, souvenirs), personal tours, golfing, personal entertainment, spousal/companion expenses (registration, accommodation, meals, travel, golf, dinner dances, tours etc)]**
- Personal Sightseeing expenses
- Medical Insurance (when travelling within Canada)
- In Suite Services e.g. movies, bar services
- Alcoholic beverages (considered personal expense except in **council-approved** hospitality situations.)
- **Also see section 2.3 on ineligible expenses.**

POLICY MANUAL

SECTION: CORPORATE		(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)		
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY	

Narrative:

Travel:
Cont'd

- Must be related to the business of City of Vaughan.
- Cash advances for hotel and per diem costs can be requested up to *two weeks* before the travel date.
- Reimbursement will be made for airfare, hotel reservations and conference registration fees that are paid in advance.
- Economy class for airfare or train. **Upgrades are a personal expense unless approved by Council or a medical note is provided explaining why the upgrade is required.**
- **Boarding passes/tickets**, to prove flight taken, will be required to be submitted after the trip.
- If a Council Member chooses to use his/her own vehicle as a mode of travel to the destination, reimbursement will be the *lesser* of economy airfare or mileage (less 160 kms and any personal mileage for those on the Allowance)..
- A Conference may be paid in advance only if the City receives an early-booking discount.
- Council Members can request the City to reimburse expenses for only a portion of the trip, if a City program, agency, board, commission or special-purpose body, or any organization which is an **Eligible body** (provincial, regional and local governments or political subdivisions of them, the Federal government or a foreign government within a foreign country, or a conference, seminar or event organizer) where the member is either speaking or attending in an official capacity, under the Code of Conduct, Gifts and Benefits section, or if the Council Member has an official or speaking role at the conference and the organizer is paying the remainder of the costs for the trip.
- Council Members must report to the *Integrity Commissioner* within 30 days from the first date of travel, all travel costs *funded by* an Eligible body under the Code of Conduct.

Business Travel co-ordinated through the Economic Development department- (Economic Development Budget)

- **Council approval is required for Council Members prior to the business trip.**
- **All eligible travel expenses will be funded through the Economic Development department's budget.**

Other information about Out-of-Town Conferences, Seminars and Business Travel:

- Attendance at any Conferences, Seminars or Business Trips outside Canada and the USA, shall be approved by special resolution of Council.
- All travel costs exceeding \$3800 (January 1, 2010) must be approved by Council.
- A *Travel Notification Form* must be submitted to the City Clerk's Office at least 10 days before traveling.

POLICY MANUAL

SECTION: CORPORATE		(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)		
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY	

- Travel reimbursement must include *any itinerary* confirming travel dates and airline booking.
- Reimbursement of conference registration fees must include a copy of the *conference brochure* confirming the cost and conference dates.
- The reimbursement of hotel costs must include an *original hotel invoice* itemizing room costs and other incidentals.
- Claims for travel, must be submitted to the City Clerk's Office within 30 days after returning from travel. **Original receipts must accompany all claims.**
- Foreign currency will be converted into Canadian currency, based on the exchange rate on the date when the expense was paid, unless a credit card statement is provided to show the actual Cdn dollars charged to their credit card for the expenses.
- No report is required on the outcome of a conference however for *business travel a comprehensive report is required.*
- Adherence to this Policy does not exempt a Council Member from being subject to a Code of Ethical Conduct investigation, nor does it preclude the Integrity Commissioner from receiving complaints against a Member or investigating complaints under the Code of Conduct Complaint Protocol.
- Where the Council Member has sought the opinion of the Integrity Commissioner before traveling, and if the Integrity Commissioner receives a complaint about the very matter on which the Commissioner has already provided an opinion, the Integrity Commissioner may investigate the complaint as (a) the Members may not have followed the recommendations in the opinion of the Integrity Commissioner or (b) some of the facts may have changed from the time the opinion was sought.

Forms:

- Form - Travel Notification Form
- Integrity Commissioner – Gifts and Benefits Information Statement

APPROVAL PROCESS

1. Council Members shall ensure that their attendance at Conferences, Seminars or Business Trips meets the following guidelines:
 - a) Attendance is relative to the work of the municipality and, will benefit Council Members in the performance of their duties or the Corporation at large.
 - b) Council services will not be unduly disrupted.
 - c) Funds have been identified in their current budgets.
2. All attendance at Conferences, Seminars or Business Trips must be approved by Council for the Mayor and Council Members *unless approved in the annual budget.*
3. Following approval, *substitutions* shall be approved by the City Manager.

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)	
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY

CONFERENCES AND SEMINARS OUTSIDE THE GREATER TORONTO AREA

1. The maximum amount which may be expended by a Council Member, or a member of staff for attendance at a Conference or Seminar *outside the Greater Toronto Area* (but within Canada or USA) without a special resolution from Council shall be **\$3,800** (Jan 1, 2010);
2. Conferences and Seminars outside the Greater Toronto Area are *authorized through the budgetary process*.
3. Conferences and Seminars outside the Greater Toronto Area but within Canada or the USA, shall be limited to two per year for Council.
4. Conferences and Seminars held by the Federation of Canadian Municipalities (FCM) and the Association of Municipalities of Ontario (AMO) shall be included in the limitation as outlined in 3 above.
5. Council Members who are members of the Executive of the FCM or AMO shall be exempted from the limitations as outlined in 3 above.
6. Any Council Member who wishes to attend a Conference or Seminar in excess of the limitation outlined in 3 above shall have such attendance approved by special resolution of Council.

CONFERENCE, SEMINARS, WITHIN THE GREATER TORONTO AREA

1. Conferences and Seminars *within the Greater Toronto Area* are *authorized through the budgetary process*.

An estimated amount of **\$2,950** per Council Member may be placed in the budget submissions to cover attendance at Conferences and Seminars within the GTA.

9.32 Websites**Provided from the City's centralized resource (ITM Budget)**

- Council Members are provided with a standard page on the City's internet site at www.Vaughan.ca for the purposes of publishing contact information and professional profile information. Any changes are to be requested through the ITM department.

Ineligible Expense:

- Any fees relating to a Council Member's external websites.

Narrative:

- The personal website of each Council Member hosted *outside* of the City's internet URL address is entirely the responsibility of the Council Member.
- City staff cannot provide any advice related to these external sites, including wording for disclaimers.
- The City recommends that Council Members choose a web-hosting company operating *from Canada* to reduce the risk of breaching the privacy of constituents under the United States Patriots Act requirements.
- The Council Members' standard City internet page cannot advocate for a

POLICY MANUAL

SECTION: CORPORATE		(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)		
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY	

political party, or candidates in any election campaign.

Council Members **may not** publish links to their personal web sites from their City's website page site.

10.0 ELECTION YEAR EXPENSES (Effective January 1 of the election year)

10.1 Use of Corporate Resources during an Election Year

- The following guidelines apply to an acclaimed Council Member or a Council Member not seeking re-election, as well as all Council Members who seek re-election.
- Under the Municipal Elections Act, the **City of Vaughan cannot provide subsidy to any candidates in a municipal election campaign.**
- Corporate Resources is defined as any City Resources, including the Council Member's office budget, City facilities, City staff and City programs and services.
- An election year begins on January 1 and ends on the end of the term of Council, November 30th.
 - **Council Members will not be allowed to use the office expense budget for advertising, newsletters, and community expenses (Council Member hosted events, tickets to events and functions, gifts and promotion (including flowers, awards)) after June 30th in an election year.**
- Nothing in this policy shall preclude a Council Member from performing his/her job as a Council Member, nor inhibit him/her from representing the interests of the constituents who elected them.

10.2 Policies Applicable During Entire Election Year

- Corporate resources (any City resources, including the Council Member's office budget, City facilities, City staff and City programs and services) and funding may not be used for any election-related purposes. ***I.E., Council Members cannot be subsidized with Corporate resources for election-related purposes.***
- Staff may not canvass or actively work in support of a municipal, provincial or federal candidate or party during normal working hours unless they are on a leave of absence without pay, lieu time, float day or vacation leave.
- The Office Budget for Council Members for the period January 1 to November 30th in a municipal election year be restricted to 11/12ths of the approved Office Budget

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

with the provision that subsequent to Election Day:

- o New Council Members be allocated a budget equal to 1/12th of the approved budget amount for the month of December; and
 - o Re-elected Council Members have available to them the balance of funds remaining as of Election Day
- Council Members may not deliver any unsolicited material outside their existing ward where printing and/or distribution costs are paid by the City. Care should be taken to ensure that the mailing of newsletters be restricted to the member's ward only (with accommodation made for the normal spillage associated with Canada Post postal walks.) This policy is to be effective not only during an election year but at all times.
 - Council Members may not:
 - o Print or distribute any material paid by City funds that illustrates that a Council Member or any other individual is registered in any election or where they will be running for office;
 - o Profile (name or photograph) or make reference to, in any materials paid by City funds, any individual who is registered as a candidate in any election;
 - o Print or distribute any material using City funds that makes reference to, or contains the names or photographs of, or identifies registered candidates for municipal elections; and that Minutes of City Council and Committee meetings be exempt from this policy.
 - Council Members are responsible to ensure that the content of any communication material, including printed material such as newsletters, advertising etc., funded by the city for the operation of each Council Member's Office, is not directly election-related.
 - Web sites or domain names that are funded by the City of Vaughan may not include any election-related campaign material.
 - The City is to be reimbursed for all election-related calls from cell phones, blackberries and PDA's which are funded by the City.
 - No Council Member shall undertake campaign-related activities on municipal property during regular working hours.
 - Council Members may not use the City's voice mail system to record election related messages.
 - Council Members may not use the services of any staff in the City of Vaughan to assist in any communication activity related to the preparation or distribution of campaign related materials or events.
 - No photographic or video materials may be created by City staff for use in any campaign materials.

POLICY MANUAL

SECTION: CORPORATE		(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
(To be completed by Clerk's Dept) XXXXX)		
DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY	

- The City of Vaughan logo will not be used in any campaign related materials.

10.3 Discontinued Activities During an Election Year

The following activities are discontinued for Council Members after **June 30th** of an election year unless so directed and approved by Council:

- The ordering of stationery *with any reference to the name of the Council Member.*
- The ordering or movement of office furniture and furnishings, *except in the case of operational necessity where to do otherwise would prevent the Council Member from carrying out the duties of his/her office.*
- Distribution of media releases by or on behalf of individual Council Members including through the use of City of Vaughan media relations or departmental communications networks.
- *Any form of advertising by a Council Member.*
- No advertising paid for by the City of Vaughan shall contain the name of a Council Member or the Mayor, *unless required in order that they may carry out their duties as an elected official e.g. partnerships with other levels of government. Names of Members of Council are permitted in these events.*
- *City-paid-advertising refers to situations where the City purchases advertising in various media outlets. In these instances, reference can be made to the Mayor and Members of Council as a group but not individually by name. Corporate news releases and media advisories are not considered City-paid-advertising.*
- Printing and/or general distribution of *electronic or printed* newsletters.
- *Printing and distribution of mailings except with respect to a matter then under consideration or about to be considered at a public meeting or by a Committee of Council, or Council, pertaining to an existing issue in the community, and then only to the persons in the immediate vicinity of the particular location in question. No such mailings may be made where the Council Member is aware that City officials have or will be distributing a communication to the same effect.*
- Community expenses, including Council Member hosted events, tickets *or any contribution* to events and functions, and gifts and promotions (*including flowers, awards or any other*).

11.0 TRANSITION PROVISIONS

POLICY MANUAL

SECTION: CORPORATE	(To be completed by Clerk's Dept. following Council approval) POLICY NO.: XXXX
---------------------------	--

(To be completed by Clerk's Dept) XXXXX)

DEPARTMENT: COUNCIL	SUBJECT: COUNCIL MEMBER EXPENSE POLICY
--------------------------------	---

11.1 — Committed Expenses

- Some expenses may have been committed and expensed prior to the February 1, 2010 effective date. In these circumstances, these expenses will be deemed as not contravening the Council Member Expense Policy. These expenses can be verified to supporting documentation dated before February 1, 2010.

11.2 — Audit Review Period

- Council Members' expenses are to be audited by the City Auditor during the first six month implementation period to monitor compliance with the Council Member Expense Policy and to identify any policy areas requiring clarification or amendment.

Any transitional issues arising from the application of this policy from February 1, 2010 to March 9, 2010 will have regard for the amended Policy.